

AMENDMENT  
STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE  
AMENDMENT

Date Received  
Official Use Only

RECEIVED  
FAIR POLITICAL  
PRACTICES COMMISSION

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
EMMERSON WILLIAM J.

2012 MAR 6 AM 11:10

1. Office, Agency, or Court

Agency Name

CALIFORNIA STATE SENATE

SENATOR

Division, Board, Department, District, if applicable

Your Position

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_ Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2011, through December 31, 2011.

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through December 31, 2011.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Check one)

☐ The period covered is January 1, 2011, through the date of leaving office.

☐ Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_, through the date of leaving office.

☐ Candidate: Election Year \_\_\_\_\_ Office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 2

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

herein and in any attached schedules is true and complete. I acknowledge this is a

I certify under penalty of perjury under the laws of the State of California that

Date Signed 3/5/2012  
(month, day, year)

Signature

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

**AMENDMENT**

NAME OF BUSINESS ENTITY  
**\* Molycorp Inc.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**production & sale of rare earth oxides**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
**2 / 14 / 11** **/ / 11**  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
**\* Kayne Anderson MLP Investment**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**U.S. public equity markets/energy sector**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
**2 / 14 / 11** **/ / 11**  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
**\* Molycorp Inc.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**production & sale of rare earth oxides**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
**5 / 9 / 11** **/ / 11**  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
**\* Kayne Anderson MLP Investment**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**U.S. public equity markets/energy sector**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

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**5 / 9 / 11** **/ / 11**  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
**Molycorp Inc.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**production & sale of rare earth oxides**

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
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NATURE OF INVESTMENT  
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☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
**2 / 22 / 11** **/ / 11**  
ACQUIRED DISPOSED

**Filer's Verification**

Print Name **WILLIAM J. EMMERSON**

Office, Agency or Court **CALIFORNIA STATE SENATE, SENATOR**

Statement Type ☒ 2011/2012 Annual ☐ Assuming ☐ Leaving  
☐ \_\_\_\_\_ Annual ☐ Candidate  
(yr)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed **3/5/2012**

Filer's Signature **(d)(5)**

Comments: **\*Held in spouse's separate property trust**

FEB 29 2012

Please type or print in ink.

2012 MAR - 1 PM 4:47

NAME OF FILER

EMMERSON

WILLIAM

(MIDDLE)

1. Office, Agency, or Court

Agency Name

CALIFORNIA STATE SENATE

Division, Board, Department, District, if applicable

Your Position

SENATOR

► If filing for multiple positions, list below or on an attachment.

Agency: \_\_\_\_\_

Position: \_\_\_\_\_

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County \_\_\_\_\_

☐ County of \_\_\_\_\_

☐ City of \_\_\_\_\_

☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2011, through December 31, 2011.

☐ Leaving Office: Date Left \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
(Check one)

-or-

The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_\_, through December 31, 2011.

☐ The period covered is January 1, 2011, through the date of leaving office.

☐ Assuming Office: Date assumed \_\_\_\_/\_\_\_\_/\_\_\_\_\_  
Office sought, if different than Part 1: \_\_\_\_\_

☐ The period covered is \_\_\_\_/\_\_\_\_/\_\_\_\_\_, through the date of leaving office.

☐ Candidate: Election Year \_\_\_\_\_ Office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 14

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☒ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☒ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

(d)(5)

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed

02/23/2011  
(month, day, year)

Signature

(d)(5)

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

WILLIAM JOHN EMMERSON

## ▶ NAME OF BUSINESS ENTITY

Apple Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

computing, communications, electronics

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

Ford Motor Company

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

automobile manufacturing

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

Walt Disney Co.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

entertainment

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

Plains All American Pipeline

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

oil transmission

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

Cisco Systems Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

computer network products

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

Vanguard Natural Resources

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

oil &amp; gas drilling &amp; exploration

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

WILLIAM JOHN EMMERSON

NAME OF BUSINESS ENTITY  
\* Medco Health Solutions

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
healthcare/pharmacy services

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
\* Plum Creek Timber Co. Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
timber

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
\*\* MolyCorp Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
production & sale of rare earth oxides

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:  
 2 / 14 / 11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
\*\* MolyCorp Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
production & sale of rare earth oxides

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 5 / 9 / 11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
\* Teradata Corp.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
data warehousing solutions

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

NAME OF BUSINESS ENTITY  
\* Pfizer Incorporated

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
pharmaceuticals

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

Comments: \* Held in spouse's separate property trust \*\* Held in spouse's trust & by officeholder

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

**WILLIAM JOHN EMMERSON**

## ▶ NAME OF BUSINESS ENTITY

**\* Eli Lilly & Company**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**pharmaceuticals**

FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\*\* General Electric**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**technology, service, & finance**

FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Exxon-Mobil Corporation**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**energy**

FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* International Paper Company**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**paper & packaging**

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Frontier Communications Corp.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**communications**

FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Ishares S&P Global Clean Energy**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

**energy**

FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
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NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
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IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**      \_\_\_\_/\_\_\_\_/\_\_\_\_ **11**  
 ACQUIRED      DISPOSED

**Comments:** \*Held in spouse's separate property trusts; \*\* Held in spouse's trust and by officeholder

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

**WILLIAM JOHN EMMERSON**

▶ NAME OF BUSINESS ENTITY  
**\* American States Water Company**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**water and electric utility services**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**\* Verizon Communications**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**communications**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
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IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**\* AT&T**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**telecommunications**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
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IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**\* Vodafone**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**communications**

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
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IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**\* Comcast Corporation**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**communications**

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
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IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
**\* Williams Cos. Inc.**

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
**natural gas transportation and storage**

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
ACQUIRED      DISPOSED

Comments: \* Held in spouse's separate property trusts

**SCHEDULE A-1****Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

**WILLIAM JOHN EMMERSON**

## ▶ NAME OF BUSINESS ENTITY

**\* American Electric Power Co.**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

generation, transmission of electric power

## FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Yum Brands Inc.**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

worldwide system of restaurants

## FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Windstream Corp.**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

telecommunications

## FAIR MARKET VALUE

☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* BP PLC-Spons ADR**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

oil exploration, production, refining

## FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Bristol Myers Squibb Co.**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

pharmaceuticals

## FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

## ▶ NAME OF BUSINESS ENTITY

**\* Chevron Corporation**

## GENERAL DESCRIPTION OF BUSINESS ACTIVITY

energy

## FAIR MARKET VALUE

☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

## NATURE OF INVESTMENT

☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

Comments: \* Held in spouse's separate property trusts



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

WILLIAM JOHN EMMERSON

▶ NAME OF BUSINESS ENTITY  
\* Lender Processing Services

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
tech & services to mortgage lending industry

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\* Western Asset Managed Municipals Inc.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
management investment company/muni bonds

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other closed end funds  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\* Kayne Anderson MLP Investment

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
U.S. Public equity markets/energy sector

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other closed end funds  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 2 / 14 / 11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\* Kayne Anderson MLP Investment

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
U.S. Public equity markets/energy sector

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other closed end funds  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 5 / 9 / 11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\* Western Asset/ Claymore Inflation Fund

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
government securities

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other closed end funds  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
\* ConocoPhillips

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
energy

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_  
 (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_/\_\_\_\_/11      \_\_\_\_/\_\_\_\_/11  
 ACQUIRED      DISPOSED

Comments: \* Held in spouse's separate property trust

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

WILLIAM JOHN EMMERSON

NAME OF BUSINESS ENTITY  
\* Blackrock Municipal 2018 Term Trust

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
management investment company/ muni bonds

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other closed end fund  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☐ Other \_\_\_\_\_  
(Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
       /        / 11             /        / 11  
 ACQUIRED                      DISPOSED

Comments: \* Held in spouse's separate property trust

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name <b>WILLIAM JOHN EMMERSON</b>

<b>1. BUSINESS ENTITY OR TRUST</b>	
Name _____	
Address (Business Address Acceptable) _____	
Check one <input type="checkbox"/> Trust, go to 2 <input type="checkbox"/> Business Entity, complete the box, then go to 2	
<b>GENERAL DESCRIPTION OF BUSINESS ACTIVITY</b>	
FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/11    ____/_____/11 ACQUIRED    DISPOSED
NATURE OF INVESTMENT <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Other _____	
YOUR BUSINESS POSITION _____	
<b>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</b>	
<input type="checkbox"/> \$0 - \$499 <input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000	<input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000
<b>3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE</b> (Attach a separate sheet if necessary.) _____ _____	
<b>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST</b>	
Check one box: <input type="checkbox"/> INVESTMENT <input type="checkbox"/> REAL PROPERTY	
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____	
Description of Business Activity or City or Other Precise Location of Real Property _____	
FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/11    ____/_____/11 ACQUIRED    DISPOSED
NATURE OF INTEREST <input type="checkbox"/> Property Ownership/Deed of Trust <input type="checkbox"/> Stock <input type="checkbox"/> Partnership <input type="checkbox"/> Leasehold _____ Yrs. remaining <input type="checkbox"/> Other _____	
<input type="checkbox"/> Check box if additional schedules reporting investments or real property are attached	

<b>1. BUSINESS ENTITY OR TRUST</b>	
Name <b>Nancy Emmerson Separate Property Revocable Trust</b>	
Address (Business Address Acceptable) _____	
Check one <input checked="" type="checkbox"/> Trust, go to 2 <input type="checkbox"/> Business Entity, complete the box, then go to 2	
<b>GENERAL DESCRIPTION OF BUSINESS ACTIVITY</b>	
FAIR MARKET VALUE <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/11    ____/_____/11 ACQUIRED    DISPOSED
NATURE OF INVESTMENT <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Other _____	
YOUR BUSINESS POSITION _____	
<b>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</b>	
<input type="checkbox"/> \$0 - \$499 <input type="checkbox"/> \$500 - \$1,000 <input checked="" type="checkbox"/> \$1,001 - \$10,000	<input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000
<b>3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE</b> (Attach a separate sheet if necessary.) _____ _____	
<b>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE BUSINESS ENTITY OR TRUST</b>	
Check one box: <input type="checkbox"/> INVESTMENT <input checked="" type="checkbox"/> REAL PROPERTY	
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____	
Description of Business Activity or City or Other Precise Location of Real Property <b>Parcel #0173062210000, San Bernardino County</b>	
FAIR MARKET VALUE <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	IF APPLICABLE, LIST DATE: _____/_____/11    ____/_____/11 ACQUIRED    DISPOSED
NATURE OF INTEREST <input checked="" type="checkbox"/> Property Ownership/Deed of Trust <input type="checkbox"/> Stock <input type="checkbox"/> Partnership <input type="checkbox"/> Leasehold _____ Yrs. remaining <input type="checkbox"/> Other _____	
<input type="checkbox"/> Check box if additional schedules reporting investments or real property are attached	

Comments: \_\_\_\_\_

# SCHEDULE D Income – Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name WILLIAM J. EMMERSON
--

► NAME OF SOURCE  
California Healthcare Institute  
 ADDRESS (Business Address Acceptable)  
1020 Prospect Street, Suite 310 La Jolla, CA 92037  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Biomedical Research & Advocacy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>02 / 01 / 11</u>	\$ <u>118.11</u>	<u>Reception &amp; Dinner</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

► NAME OF SOURCE  
ALS Association, Golden West Chapter  
 ADDRESS (Business Address Acceptable)  
P.O. Box 565 Agoura Hills, CA 91376-0565  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE  
ALS Research & Advocacy

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>03 / 08 / 11</u>	\$ <u>63.16</u>	<u>Dinner</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

► NAME OF SOURCE  
AT&T Incorporated  
 ADDRESS (Business Address Acceptable)  
1215 K Street, Suite 1800 Sacramento, CA 95814  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Telecommunications

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>03 / 03 / 11</u>	\$ <u>104.61</u>	<u>concert ticket</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

► NAME OF SOURCE  
Bay Area Bioscience Association  
 ADDRESS (Business Address Acceptable)  
400 Oyster Point Blvd. Ste. 220 South San Francisco  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>02 / 01 / 11</u>	\$ <u>118.11</u>	<u>Reception &amp; Dinner</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

► NAME OF SOURCE  
Brutoco Construction Management  
 ADDRESS (Business Address Acceptable)  
P.O. Box 310189 Fontana, CA 92331  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Contruction

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 20 / 11</u>	\$ <u>179.18</u>	<u>Wine</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

► NAME OF SOURCE  
Kraft Foods  
 ADDRESS (Business Address Acceptable)  
100 Northeast Columbia Blvd. Portland, OR 97211  
 BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Food Manufacturing

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>04 / 03 / 11</u>	\$ <u>165.00</u>	<u>golf tournament ticket</u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>
<u>  /  /  </u>	\$ <u>          </u>	<u>                  </u>

Comments: \_\_\_\_\_

# SCHEDULE D Income – Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name WILLIAM J. EMMERSON
--

► NAME OF SOURCE  
Farmers Group, Inc.

ADDRESS (Business Address Acceptable)  
1201 K Street, Suite 1220 Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Insurance

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
04 / 12 / 11	\$ 57.65	Dinner
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
California Refuse Recycling Council, Northern Dist.

ADDRESS (Business Address Acceptable)  
1121 L Street, Suite 505 Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 17 / 11	\$ 40.00	Reception
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
Pacific Gas & Electric

ADDRESS (Business Address Acceptable)  
1415 L Street, Suite 280 Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Utilities

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
03 / 30 / 11	\$ 145.90	Dinner
10 / 01 / 11	\$ 17.66	Refreshments
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
California Building Industry Association

ADDRESS (Business Address Acceptable)  
1215 K Street, Suite 1200 Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
04 / 26 / 11	\$ 101.62	Dinner
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
California Refuse Recycling Council, Southern Dist.

ADDRESS (Business Address Acceptable)  
1851 E. First Street, Ste 1220 Santa Ana, CA 92705

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 17 / 11	\$ 39.99	Reception
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
California Dental Association

ADDRESS (Business Address Acceptable)  
1201 K Street, 14th Floor Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Professional Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
07 / 13 / 11	\$ 35.00	Dinner
08 / 24 / 11	\$ 124.31	concert ticket
12 / 08 / 11	\$ 30.07	Refreshments

Comments: \_\_\_\_\_

# SCHEDULE D Income – Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name WILLIAM J. EMMERSON
--

► NAME OF SOURCE  
California Rice Commission

ADDRESS (Business Address Acceptable)  
8801 Folsom Blvd., Suite 172 Sacramento, CA 95826

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
03 / 14 / 11	\$ 32.89	gift box
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE  
California Automatic Vendors Association

ADDRESS (Business Address Acceptable)  
80 S. Lake Ave., Suite 538 Pasadena, CA 91101

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 10 / 11	\$ 20.00	gift box
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE  
Advanced Medical Technology Association

ADDRESS (Business Address Acceptable)  
701 Pennsylvania Ave, Ste 800 Washington, DC

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 23 / 11	\$ 5.00	food and/or beverage
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE  
California Floral Association

ADDRESS (Business Address Acceptable)  
1521 I Street Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
03 / 23 / 11	\$ 16.95	flowersalif
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE  
Microsoft Corporation

ADDRESS (Business Address Acceptable)  
One Microsoft Way, 8/1031 Redmond, WA 98052

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Technology company

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
05 / 06 / 11	\$ 20.00	lunch *
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

► NAME OF SOURCE  
California Manufacturers & Technology Association

ADDRESS (Business Address Acceptable)  
1115 Eleventh Street, Sacramento, CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Industry Association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
06 / 29 / 11	\$ 3.21	food and/or beverage
___ / ___ / ___	\$ _____	_____
___ / ___ / ___	\$ _____	_____

Comments: \* Lunch was provided during a Budget Committee hearing held at the Microsoft Campus in Mountain View, CA.

# SCHEDULE D Income – Gifts

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION Name WILLIAM J. EMMERSON
--

► NAME OF SOURCE  
The Home Depot

ADDRESS (Business Address Acceptable)  
101 Constitution Ave, NW Ste. 800 West, Wash DC

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Home Improvement

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10 / 24 / 11	\$ 2.45	apron
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE  
Southern California Edison

ADDRESS (Business Address Acceptable)  
2244 Walnut Grove Ave. Rosemead, CA 91770

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
Utilities

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
11 / 03 / 11	\$ 22.02	food and/or beverage
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

► NAME OF SOURCE

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____
____ / ____ / ____	\$ _____	_____

Comments: \_\_\_\_\_

**SCHEDULE E**  
**Income – Gifts**  
**Travel Payments, Advances,**  
**and Reimbursements**

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name  WILLIAM J. EMMERSON

- You must mark either the gift or income box.
- Mark the 501(c)(3) box for a travel payment received from a nonprofit 501(c)(3) organization. These payments are not subject to the \$420 gift limit, but may result in a disqualifying conflict of interest.

▶ NAME OF SOURCE California Foundation on the Environment & Economy	
ADDRESS (Business Address Acceptable) Pier 35, Suite 202	
CITY AND STATE San Francisco, CA 94133	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input checked="" type="checkbox"/> 501 (c)(3)
DATE(S): 10 / 09 / 11 - 10 / 11 / 11 AMT: \$ 605.42 (If gift)	
TYPE OF PAYMENT: (must check one) <input checked="" type="checkbox"/> Gift <input type="checkbox"/> Income	
<input checked="" type="checkbox"/> Made a Speech/Participated in a Panel	
<input type="checkbox"/> Other - Provide Description	

▶ NAME OF SOURCE California Foundation on the Environment & Economy	
ADDRESS (Business Address Acceptable) Pier 35, Suite 202	
CITY AND STATE San Francisco, CA 94133	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input checked="" type="checkbox"/> 501 (c)(3)
DATE(S): 10 / 29 / 11 - 11 / 10 / 11 AMT: \$ 10735.00 (If gift)	
TYPE OF PAYMENT: (must check one) <input checked="" type="checkbox"/> Gift <input type="checkbox"/> Income	
<input type="checkbox"/> Made a Speech/Participated in a Panel	
<input checked="" type="checkbox"/> Other - Provide Description	
Study travel project focused on energy, infrastructure issues and telecommunications.	

▶ NAME OF SOURCE California Foundation on the Environment & Economy	
ADDRESS (Business Address Acceptable) Pier 35, Suite 202	
CITY AND STATE San Francisco, CA 94133	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input checked="" type="checkbox"/> 501 (c)(3)
DATE(S): 12 / 09 / 11 - 12 / 10 / 11 AMT: \$ 419.22 (If gift)	
TYPE OF PAYMENT: (must check one) <input checked="" type="checkbox"/> Gift <input type="checkbox"/> Income	
<input checked="" type="checkbox"/> Made a Speech/Participated in a Panel	
<input type="checkbox"/> Other - Provide Description	

▶ NAME OF SOURCE California Foundation on the Environment & Economy	
ADDRESS (Business Address Acceptable) Pier 35, Suite 202	
CITY AND STATE San Francisco, CA 94133	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input checked="" type="checkbox"/> 501 (c)(3)
DATE(S): 03 / 04 / 11 - 03 / 04 / 11 AMT: \$ 51.12 (If gift)	
TYPE OF PAYMENT: (must check one) <input checked="" type="checkbox"/> Gift <input type="checkbox"/> Income	
<input checked="" type="checkbox"/> Made a Speech/Participated in a Panel	
<input type="checkbox"/> Other - Provide Description	

Comments: \_\_\_\_\_